

06/18/01

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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

Only for new nonprovisional applications under 37 CFR 1.53(b)

Attorney Docket No.

209819US6

First Inventor or Application Identifier

Takashi SA, et al.

Title

OPTICAL DISC RECORDING METHOD AND APPARATUS

Assignee Name: SONY CORPORATION

Assignee Address: 7-35, Kitashinagawa 6-chome, Shinagawa-ku, Tokyo, JAPAN

**APPLICATION ELEMENTS**

*See MPEP chapter 600 concerning utility patent application contents*

1. ☒ **Fee Transmittal Form (e.g. PTO/SB/17)**  
(Submit an original and a duplicate for fee processing)
2. ☒ **Specification** Total Sheets **30**
3. ☒ **Drawing(s) (35 U.S.C. 113)** Total Sheets **19**  
**Formals**
4. ☐ **Oath or Declaration** Total Pages 
  - a. ☐ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 C.F.R. §1.63(d))  
(for continuation / divisional w/ box 17 completed)
    - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §1.63(d)(2) and 1.33(b).
  - ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (*Appendix*)
  - ☐ Nucleotide and/or Amino Acid Sequence Submission (*if applicable, all necessary*)
    - a. ☐ Computer Readable Form (CRF)
    - b. Specification or Sequence Listing on:
      - i. ☐ CD-ROM or CD-R (2 copies); or
      - ii. ☐ Paper
  - c. ☐ Statements verifying identity of above copies

ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

**ACCOMPANYING APPLICATION PARTS**

7. ☐ Assignment Papers (cover sheet & document(s))
8. ☒ Application Data Sheet. See 37 CFR 1.76
9. ☐ 37 C.F.R. §3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
10. ☐ English Translation Document (*if applicable*)
11. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ White Advance Serial No. Postcard
14. ☒ Certified Copy of Priority Document(s) (1)  
(if foreign priority is claimed)
15. ☐ Applicant claims small entity status.  
See 37 CFR 1.27
16. ☒ Other: Notice of Priority, List of Inventors' Names and Addresses

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application no.:

Prior application information: Examiner: Group Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. Amend the specification by inserting before the first line the sentence:

- ☐ This application is a ☐ Continuation ☐ Division ☐ Continuation-in-part (CIP)  
of application Serial No. Filed on
- ☐ Which was published in English
- ☐ Which was not published in English
- ☐ This application claims priority of provisional application Serial No. Filed

**19. CORRESPONDENCE ADDRESS**



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Registration Number 21,124

Docket No. 209819US6

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTOR(S) Takashi SASAKI, et al.

SERIAL NO: New Application

FILING DATE: Herewith

FOR: OPTICAL DISC RECORDING METHOD AND APPARATUS

FEE TRANSMITTAL

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

FOR	NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
TOTAL CLAIMS	16 - 20 =	0	× \$18 =	\$0.00
INDEPENDENT CLAIMS	2 - 3 =	0	× \$80 =	\$0.00
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS (If applicable)			+ \$270 =	\$0.00
<input checked="" type="checkbox"/> LATE FILING OF DECLARATION			+ \$130 =	\$130.00
BASIC FEE				\$710.00
TOTAL OF ABOVE CALCULATIONS				\$840.00
<input type="checkbox"/> REDUCTION BY 50% FOR FILING BY SMALL ENTITY				\$0.00
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A duplicate copy of this sheet is enclosed.

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A duplicate copy of this sheet is enclosed.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.



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209819US6

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: Takashi SASAKI, et al.

**FILING DATE:** Herewith

FOR: OPTICAL DISC RECORDING METHOD AND APPARATUS

## LIST OF INVENTORS' NAMES AND ADDRESSES

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

**SIR:**

Listed below are the names and addresses of the inventors for the above-identified patent application.

Takashi SASAKI Kanagawa, JAPAN

**Atsushi MORI**                      Tokyo, JAPAN

Munetoshi MORIICHI Kanagawa, JAPAN

Mitsuyuki BAMBA Saitama, JAPAN

**A declaration containing all the necessary information will be submitted at a later date.**

**Respectfully Submitted,**

**OBLON, SPIVAK, McCLELLAND,  
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